| Electronic Acknowledgement Receipt | | | | | | |
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| EFS ID: | 4419832 | | | | | |
| Application Number: | 10086769 | | | | | |
| International Application Number: | | | | | | |
| Confirmation Number: | 8954 | | | | | |
| Title of invention: | INVESTMENT PORTFOLIO COMPLIANCE SYSTEM | | | | | |
| First Named Inventor/Applicant Name: | Joseph M. Kochansky | | | | | |
| Customer Number: | 21874 | | | | | |
| Filer: | Jacob P. Martinez | | | | | |
| Filer Authorized By: | | | | | | |
| Attorney Docket Number: | 58985(49357) | | | | | |
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| Application Type: | Utility under 35 USC 111(a) | | | | | |
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Payment information

| Submitted with Payment | | no | | | | | | | |
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| File Listing: | | | | | | | | | |
| Document Number | Document Description | | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) | | | |
| 1 | Request for Certificate of Correction | 589 | 985_49357_Certificate_of_C orrection_Request.pdf | 81706 clafc56205aa57656267e1da67b775dccc0 | no | 2 | | | |

Warnings: Information:

| 2 | Request for Certificate of Correction | 58985_49357_Certificate_of_C orrection_Form.pdf | 37671 Szatebelaksz0007724ab805aa03c8c5c1a | no | 1 |
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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

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If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

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